

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

UNITED STATES OF AMERICA

-v-

DAVID MIDDENDORF and
JEFFREY WADA,
Defendants.

Court
exhibit 5
3:46

18 Cr. 36 (JPO)

VERDICT FORM

PLEASE CHECK YOUR ANSWER AS TO EACH

The Jury's verdict must be unanimous on each count.

COUNT ONE:

(Conspiracy to Defraud the Securities and Exchange Commission)

David Middendorf: Not Guilty ✓ Guilty _____

Jeffrey Wada: Not Guilty ✓ Guilty _____

COUNT TWO:

(Conspiracy to Commit Wire Fraud)

David Middendorf: Not Guilty _____ Guilty ✓

Jeffrey Wada: Not Guilty _____ Guilty ✓

COUNT THREE:
(Wire Fraud - 2015)

David Middendorf:

Not Guilty _____

Guilty ✓

COUNT FOUR:
(Wire Fraud - 2016)

David Middendorf:

Not Guilty _____

Guilty ✓

Jeffrey Wada:

Not Guilty _____

Guilty ✓

COUNT FIVE:
(Wire Fraud - 2017)

David Middendorf:

Not Guilty _____


Guilty ✓

Jeffrey Wada:

Not Guilty _____

Guilty ✓

The foreperson should sign the form and notify the Marshal that you have a verdict.


FOREPERSON

Date: 3-11-19